

Docket No.: 3350-19E  
Client No.: 3LSecurity

Sector

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Group Art Unit: 2766  
GANESAN et al. :  
Application S/N: 09/471,490 : Examiner: Unassigned  
Filed: December 23, 1999 :  
For: SECURING ELECTRONIC TRANSACTIONS OVER PUBLIC NETWORKS

RESPONSE TO NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Honorable Assistant  
Commissioner  
for Patents  
Washington, DC 20231

I hereby certify that this paper or fee is  
being deposited with the U.S. Postal Service  
as First Class Mail addressed to Assistant  
Commissioner for Patents, Washington, D.C.  
20231  
on May 23, 2000  
Signature [Signature]

Sir:

In response to the Notice of Incomplete Reply (Non-Provisional) dated April 18, 2000, asserting that the statutory basic filing fee paid is deficient and an additional \$70.00 is due, we enclose the following:

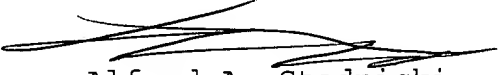
1. A copy of the Notice to File Missing Parts of Application dated February 15, 2000 requesting a basic filing fee of \$760.00 (which as of December 29, 1999, had been reduced to \$690.00).
2. A copy of the Response to the Notice to File Missing Parts filed with payment (check # 22633) of the basic fee of 690.00 (which was the correct amount as of the filing date of the response) and authorizing any shortage in fees be deposited from Deposit Account No. 12-0429.
3. A copy of check # 22633 showing the correct fees submitted and a copy of both sides of the cancelled check indicating deposit by the PTO.

It is further respectfully noted that on May 2, 2000, my assistant Lisa Daugherty spoke with Ms. Diane Jones of the Initial Patent Examination Division concerning the Notice of Incomplete Reply. A courtesy copy of the Notice was faxed to Ms. Jones for her review. Based on her review, Ms. Jones agreed that the requested additional fee was unnecessary and stated she would request the file and get back to Ms. Daugherty. After not hearing from Ms. Jones, Ms. Daugherty has since called the Initial Patent Examination Division concerning this matter, but was unsuccessful in obtaining confirmation of withdrawal of the Notice of Incomplete Reply.

Therefore, in view of the above, it is respectfully submitted that the requested additional amount of \$70.00 to cover the basic filing fee is unnecessary. It is further respectfully requested that the Notice of Incomplete Response be reconsidered and withdrawn and that the above-referenced application be entered for examination and an Official Filing Receipt be issued.

To the extent necessary, a petition for an extension of time under 37 C.F.R. 1.136 is hereby made. Please charge any shortage in fees due in connection with the filing of the Response to the Notice to File Missing Parts of Application or this paper, including extension of time fees, to Deposit Account 12-0429 and please credit any excess fees to such deposit account.

Respectfully submitted,  
Lalos & Keegan



Alfred A. Stadnicki  
Registration No. 30,226

1146 Nineteenth Street, NW  
Fifth Floor  
Washington, D.C. 20036-3703  
Telephone (202)887-5555  
Facsimile (202)296-1682  
Date: May 22, 2000

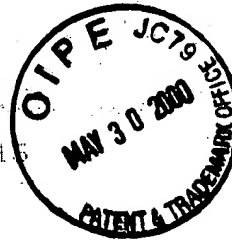
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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0212/0215

AFRED A STADNICKI  
LALOS & KEEGAN  
1146 NINETEENTH STREET N W  
FIFTH FLOOR  
WASHINGTON DC 20006-3404



DATE MAILED: 2766

02/15/00

### NOTICE TO FILE MISSING PARTS OF APPLICATION

#### Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 1,568.00.

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ 522.00 for 29 total claims over 20.<sup>9</sup>

\$ 156.00 for 2 independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

*[Signature]*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

GANESAN et al.

Application S/N: 09/471,490

Filed: December 23, 1999

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: Group Art Unit: 2766  
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: Examiner: Unassigned  
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For: SECURING ELECTRONIC TRANSACTIONS OVER PUBLIC NETWORKS

**RESPONSE TO NOTICE TO FILE**  
**MISSING PARTS OF APPLICATION**

Honorable Assistant  
Commissioner  
for Patents  
Washington, DC 20231

I hereby certify that this paper or fee is  
being deposited with the U.S. Postal Service  
as First Class Mail addressed to Assistant  
Commissioner for Patents, Washington, D.C.  
20231  
on March 29, 2000  
Siva Dargatzis  
Signature

Sir:

In response to the Notice of Missing Parts of Application dated February 15, 2000, submitted herewith are the following documents for filing in the above-referenced application:

1. Declaration, Power of Attorney
2. Statutory Basic filing fee of \$690.00
3. Non-small Entity fee of \$130.00
4. Additional Claims fee of \$678.00
5. Assignment and fee of \$40.00

A check in the amount of \$1,538.00 to cover the fees as listed above is enclosed.

Docket No.: 3350-19E  
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Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 12-0429 and please credit any excess fees to such deposit account.

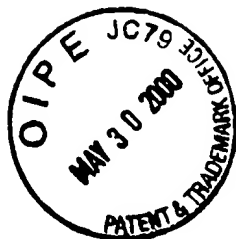
It is requested that the official filing receipt now be issued.

Respectfully submitted,  
Lalos & Keegan

A handwritten signature in black ink, appearing to read "Alfred A. Stadnicki".

Alfred A. Stadnicki  
Registration No. 30,226

1146 Nineteenth Street, NW  
Fifth Floor  
Washington, D.C. 20036-3703  
Telephone (202)887-5555  
Facsimile (202)296-1682  
Date: March 28, 2000



END: 000000

**PATIENT AND ENGINEER OFFICE**

**Abstract**



**FOR FURTHER TO THE  
L.L. COMPANY**

[illegible]

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FIRST UNION BANK  
 1000 BROADWAY  
 FALLS CHURCH VA  
 1-800-555-7400  
 055 001 118

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REC'D BUREAU N.A.  
PROCESSED  
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THE  
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OF  
THE  
UNITED STATES

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\* FEDERAL RESERVE BOARD OF GOVERNORS REG CO

